



9/16/06
Attorney Docket No. T9613.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: PAUL T. CLEGG et al.)
TITLE: BUTTON ASSEMBLY WITH)
STATUS INDICATOR AND)
PROGRAMMABLE)
BACKLIGHTING.)
SUPPLEMENTAL
SERIAL NO.: 10/654,778) INFORMATION DISCLOSURE
FILED: September 3, 2003) STATEMENT UNDER 37 C.F.R.
EXAMINER: M. A. Friedhofer) § 1.97
ART UNIT: 2832)
)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-SB-08, which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully invokes the Patent Office's obligation under 37 C.F.R. § 1.97 to consider these references and make them of record in the above-captioned application. While no representation is made that

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the 16 day of October, 2006.

10/17/2006 TTRAN1 0000007 10654778

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Grant R. Clayton
Attorney Registration No. 32,462
Attorney for Applicant

any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously cited by or submitted to the Office, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Statement of References Previously Disclosed (if any)
Under 37 C.F.R. § 1.98(d)

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) that was not previously submitted to, or cited by, the Patent Office, or that is not a U.S. Patent or Publication, is also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference not in the English language or unaccompanied by a translation into English is provided.

DD 219 637 A1 (Lein) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 30 08 339 A1 (Micheel) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 30 12 840 A1 (Haugk) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 32 21 873 A1 (Bergfeld et al.) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach

or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

Statement of References Not Required to Be Submitted Under 37 C.F.R. § 1.98(a)(2)(ii)

The following listed references are not required to be provided under 37 C.F.R. § 1.98(a)(2)(ii), and are therefore not enclosed, because they are U.S. patents and/or publications and the present application was filed after June 30, 2003: AA-AS, AZ-BR, and BU-DP.

Submission Fee Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a completed Form PTO-2038 authorizing the Commissioner to charge the indicated credit card in the in the amount of \$180.00 to cover the submission fee is enclosed to secure consideration of the references listed on the enclosed Form PTO-SB-08.

Please charge any additional fees or credit any overpayment
to Deposit Account No. 50-0836.

DATED this 9 day of August, 2006.


Respectfully submitted,

Grant R. Clayton
Attorney Registration No. 32,462
Attorney for Applicant

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PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PATENT & TRADEMARK OFFICE
MAY 2006
FEE BASIS
Form 1449/PTOINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 8

Complete if Known

Application Number	10/654,778
Filing Date	September 3, 2003
First Named Inventor	Paul T. Clegg
Art Unit	2832
Examiner Name	Michael A. Friedhofer
Attorney Docket Number	T9613.CIP

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA	US- 2,482,773		09-27-1949	Hieronymus	
AB	US- 3,579,030		05-18-1971	Bentham et al.	
AC	US- 3,689,886		09-05-1972	Durkee	
AD	US- 3,706,914		12-19-1972	Van Buren	
AE	US- 3,707,682		12-26-1972	Harris	
AF	US- 3,763,394		10-02-1973	Blanchard	
AG	US- 3,784,875		01-08-1974	Baker et al.	
AH	US- 3,868,546		02-25-1975	Gilbreath et al.	
AI	US- 3,868,547		02-25-1975	Kappenhagen et al.	
AJ	US- 3,986,423		10-19-1976	Rossum	
AK	US- 4,057,751		11-08-1977	Bonsignore et al.	
AL	US- 4,060,735		11-29-1977	Pascucci et al.	
AM	US- 4,156,866		05-29-1979	Miller	
AN	US- 4,185,531		01-29-1980	Oberheim et al.	
AO	US- 4,187,528		02-05-1980	Morriss	
AP	US- 4,196,388		04-01-1980	Weller et al.	
AQ	US- 4,200,862		04-29-1980	Campbell et al.	
AR	US- 4,246,494		01-20-1981	Foreman et al.	
AS	US- 4,253,048		02-24-1981	Osako	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
AT	CA-2 245 671		09-23-2003	Mosebrook et al.		
AU	DD-219 637		03-06-1985	Lein		
AV	DE-30 08 339		09-17-1981	Micheel		
AW	DE-30 12 840		10-08-1981	Haugk		
AX	DE-32 21 873		01-05-1984	Bergfeld et al.		
AY	GB-1,090,891		11-14-1967	Bentham		

Examiner
SignatureDate
Considered

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 8

Complete if Known

Application Number	10/654,778
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Examiner Name	Michael A. Friedhofer

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AZ	US- 4,259,619		03-31-1981	Wall	
BA	US- 4,277,727		07-07-1981	LeVert	
BB	US- 4,359,670		11-16-1982	Hosaka et al.	
BC	US- 4,390,814		06-28-1983	Peek	
BD	US- 4,392,187		07-05-1983	Bornhorst	
BE	US- 4,437,169		03-13-1984	Bertenshaw et al.	
BF	US- 4,455,546		06-19-1984	Roszel	
BG	US- 4,489,385		12-18-1984	Miller et al.	
BH	US- 4,523,132		06-11-1985	Christiansen et al.	
BI	US- 4,527,198		07-02-1985	Callahan	
BJ	US- 4,540,917		09-10-1985	Luchaco et al.	
BK	US- 4,550,276		10-29-1985	Callahan et al.	
BL	US- 4,628,440		12-09-1986	Thompson	
BM	US- 4,638,299		01-20-1987	Campbell	
BN	US- 4,680,536		07-14-1987	Roszel et al.	
BO	US- 4,695,820		09-22-1987	D'Aleo et al.	
BP	US- 4,697,227		09-29-1987	Callahan	
BQ	US- 4,772,825		09-20-1988	Tabor et al.	
BR	US- 4,792,731		12-20-1988	Pearlman et al.	

FOREIGN PATENT DOCUMENTS

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BS	GB-2 076 180		11-25-1981	Bertenshaw et al.		
BT	GB-2 097 918		11-10-1982	Smith et al.		

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Sheet 3 of 8

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		Number-Kind Code ² (if known)			
BU	US- 4,797,599		01-10-1989	Ference et al.	
BV	US- 4,880,950		11-14-1989	Carson et al.	
BW	US- 4,893,062		01-09-1990	D'Aleo et al.	
BX	US- 4,908,806		03-13-1990	Nickolaus	
BY	US- 4,924,151		05-08-1990	D'Aleo et al.	
BZ	US- 4,995,053		02-19-1991	Simpson et al.	
CA	US- 5,012,225		04-30-1991	Gill	
CB	US- 5,027,106		06-25-1991	Lizzi et al.	
CC	US- 5,030,893		07-09-1991	Spira et al.	
CD	US- 5,059,871		10-22-1991	Pearlman et al.	
CE	US- 5,099,193		03-24-1992	Moseley et al.	
CF	US- 5,103,209		04-07-1992	Lizzi et al.	
CG	US- 5,187,655		02-16-1993	Post et al.	
CH	US- 5,191,265		03-02-1993	D'Aleo et al.	
CI	US- 5,357,170		10-18-1994	Luchaco et al.	
CJ	US- 5,361,184		11-01-1994	El-Sharkawi et al.	
CK	US- 5,400,041		03-21-1995	Strickland	
CL	US- 5,430,356		07-04-1995	Ference et al.	
CM	US- 5,463,286		10-31-1995	D'Aleo et al.	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ "Number" "Kind Code ⁴ (if known)				

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First Named Inventor	Paul T. Clegg
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CN	US- 5,473,202	12-05-1995	Mudge et al.		
CO	US- 5,523,631	06-04-1996	Fishman et al.		
CP	US- 5,555,150	09-10-1996	Newman, Jr.		
CQ	US- 5,563,459	10-08-1996	Kurosawa et al.		
CR	US- 5,574,431	11-12-1996	McKeown et al.		
CS	US- 5,638,296	06-10-1997	Johnson et al.		
CT	US- 5,861,809	01-19-1999	Eckstein et al.		
CU	US- 6,091,205	07-18-2000	Newman, Jr. et al.		
CV	US- 6,100,659	08-08-2000	Will et al.		
CW	US- 6,201,364	03-13-2001	Will et al.		
CX	US- 6,331,687	12-18-2001	Dunk et al.		
CY	US- 6,359,562	03-19-2002	Rubin		
CZ	US- 6,400,271	06-04-2002	Davies, Jr. et al.		
DA	US- 6,646,843	11-11-2003	Newman, Jr. et al.		
DB	US- 6,674,248	01-06-2004	Newmna, Jr. et al.		
DC	US- 6,774,328	08-10-2004	Adams et al.		
DD	US- D163,736	06-26-1951	Bangert, Jr.		
DE	US- D249,141	08-29-1978	Mayo		
DF	US- D285,066	08-12-1986	Liss et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
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5 of 8

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	DG	US-D310,349	09-04-1990	Rowen	
	DH	US-D311,382	10-16-1990	Mayo et al.	
	DI	US-D311,485	10-23-1990	Jacoby et al.	
	DJ	US-D311,678	10-30-1990	Graef et al.	
	DK	US-D313,738	01-15-1991	Mayo et al.	
	DL	US-D319,429	08-27-1991	D'Aleo et al.	
	DM	US-D327,255	06-23-1992	D'Aleo et al.	
	DO	US-D344,264	02-15-1994	D'Aleo et al.	
	DP	US-D461,782	08-20-2002	Butler et al.	
		US-			

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				Examiner Name	Michael A. Friedhofer
Sheet	6	of	8	Attorney Docket Number	T9613.CIP

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	DQ	ADVANCED CONTROL TECHNOLOGIES, INC., "Innovative and Quality Solutions to Control Problems!" www.act-solutions.com , at least as early as August 23, 2005.	
	DR	ADVANCED CONTROL TECHNOLOGIES, INC., "Introducing the Next Generation of Home Control Systems HomePro RF," Mar. 17, 2006.	
	DS	COOPER, "X10 FAQ html version. based on the X10 FAQ version 1.08," Jan. 8, 1995.	
	DT	CREVIER, "Scott Crevier's X-10 Web Interface," at least as early as Nov. 11, 2002.	
	DU	DAVIS, "Zigbee Aims at Home, Utility Markets, www.reed-electronics.com/electronicnews/article/CA469135%20 , Oct. 7, 2004.	
	DV	HOME CONTROLS, INC., "Home Automation & Networking Catalog," No. 52, Fall 2005.	
	DW	INTELLON CORPORATION, "Intellon HomePlug® Family of Products," 2005.	
	DX	INTELLON CORPORATION, "SSC P485 PL Transceiver IC," at least as early as Jul. 3, 2006.	
	DY	KINGERY, "Which One Should I Use?" at least as early as Jun. 30, 2006.	
	DZ	KINGERY, "Which One Should I Use, Part XII (Preamble)," at least as early as Jun. 30, 2006.	

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/654,778
				Filing Date	September 3, 2003
				First Named Inventor	Paul T. Clegg
				Art Unit	2832
				Examiner Name	Michael A. Friedhofer
Sheet	7	of	8	Attorney Docket Number	T9613.CIP

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	EA	KINGERY, "Digital X-10' Which One Should I Use, Part XIII (Preamble)," at least as early as Jun. 30, 2006.			
	EB	KINGERY, "'Two Way and Extended Code' Which One Should I Use, Part XIV (Preamble)," at least as early as Jun. 30, 2006.			
	EC	KINGERY, "Which One Should I Use #17. What is 'Extended Code'? (and does it wear a tuxedo?) Part 1 - 'Different Ways of Counting,'" at least as early as Jun. 30, 2006.			
	ED	KINGERY, "Which One Should I Use #18. What is 'Extended Code'? Part 2 - 'Big Indians and Little Indians,'" at least as early as Jun. 30, 2006.			
	EE	KLEIMAN, "MacDaniels' Advice: Introduction to the X10 System," Sep. 24, 2001.			
	EF	LAMSON & SESSIONS, Product Installation Instructions, 2004.			
	EG	LUTRON ELECTRONICS CO., INC., "Homeworks® seeTouch™ Ordering Guide," 2003.			
	EH	LUTRON ELECTRONICS CO., INC., "Homeworks® Interactive Vareo®-Style Lighting Controls," 1998.			
	EI	LUTRON ELECTRONICS CO., INC., Residential Lighting Controls Catalog, at least as early as Jun. 28, 2006.			
	EJ	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Conrol RA-IR, RB-IR Setup and Installation Guide Addendum for RadioRA® Infrared Interface," 2001.			

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	EK	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Control RAMC-MFE, RBMC-MFE Setup and Installation for a RadioRA® Multi-Function Entry Master Control," 2001.	
	EL	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Control Installation Instructions for Visor Control Transmitter," 2001.	
	EM	PRICE, "Product Development Flow Using Domosys HeadStart Suite," www.hometoys.com/htinews/aug99/articles/domosys/domosys.htm . Aug. 1999.	
	EN	WWW.SHED.COM, "X-10 is both a Company and the Technology that it developed," www.shed.com/X10.html , at least as early as Jun. 30, 2006.	
	EO	TEXAS INSTRUMENTS, Chipcon Products from Texas Instruments, 2006.	
	EP	UNKNOWN, "What is X10?" at least as early as Jul. 18, 2006.	
	EQ	UNKNOWN, "X-10 Technology Transmision Theory," at least as early as Jul. 18, 2006.	
	ER	WWW.X10.COM, "Let There Be Light," www.x10.com/news/articles/0309_light.htm , at least as early as Jul. 18, 2006.	
	ES	WWW.X10.COM, "X10 Powerline Carrier (PLC) Technology," www.x10.com/support/technology1.htm , at least as early as Jun. 30, 2006.	
	ET	X10PRO, "X10 Platform Basics," at least as early as Jun. 30, 2006.	

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